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ATTORNEY DOCKET NO. 6647-17

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventor(s): Stephen R. Carter and Delos C. Jensen

Serial No.: 09/654,660

Examiner: Not yet assigned

Filing Date: September 5, 2000

Group Art Unit: ~~2641~~ 2143

Title: POLICY ENFORCEMENT USING THE SEMANTIC CHARACTERIZATION OF TRAFFIC

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b), or  
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
- ☐ Statement under 37 CFR 1.97 (e), or
  - ☐ a \$240 fee under 37 CFR 1.17 (p), or
- (After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with either:
- ☐ Statement under 37 CFR 1.97 (e),
  - ☐ Applicant hereby petitions for the consideration of the accompanying information disclosure statement (37 C.F.R. §1.97(d)(ii)).
  - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17 (i)(1).
- (Filed after final action or notice of allowance, whichever occurs first, but before payment of the

issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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MARGER JOHNSON & McCOLLOM, P.C.

PATENT TRADEMARK OFFICE

Ariel Rogson  
Reg. No. 43,654

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
Portland, Oregon 97205  
(503) 222-3613

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ON March 5, 2002

Deanna Bruce

Examiner: *Arienne Teal*  
10.31.03



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PATENT APPLICATION  
Attorney's Do. No. 6647-17

2641

2143

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Stephen R. Carter and Delos C. Jensen

Serial No. 09/654,660

Examiner: Not yet assigned

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Group Art Unit: 2641  
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For: POLICY ENFORCEMENT USING THE SEMANTIC  
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TRANSMITTAL LETTER

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Enclosed for filing in the above-referenced application are the following:

- ☒ Supplemental Information Disclosure Statement
- ☒ PTO Form 1449 ☒ References cited
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PATENT TRADEMARK OFFICE

MARGER JOHNSON & McCOLLOM, P.C.

Ariel Rogson, Reg. No. 43,054

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW MORRISON STREET  
PORTLAND, OREGON 97205  
(503) 222-3613

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TRADEMARKS, 2900 CRYSTAL DRIVE,  
ARLINGTON, VA 22202-3513

ON March 5, 2002  
Joanna Bresco